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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Complete if Known | |
| | | | | Application Number | 10/586,072 |
| | | | | Filing Date | |
| | | | | First Named Inventor | BROUGH, Douglas E. |
| | | | | Group Art Unit | 1632 |
| | | | | Examiner Name | /Wu-Cheng Winston Shen/ |
| Sheet | 1 | of | 11 | Attorney Docket Number | 253625 |


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| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | | | | | | Translation Provided** | |
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| /W.S./ | EA | GUO et al., "The nuclear matrix protein NMP-1 is the transcription factor YY1," <i>Proc. Natl. Acad. Sci. USA</i> , 92: 10526-10530 (1995). | | | |
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| Substitute for form 1449A/B/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 10/586,072 |
| | | | | Filing Date | |
| | | | | First Named Inventor | BROUGH, Douglas E. |
| | | | | Group Art Unit | 1632 |
| | | | | Examiner Name | /Wu-Cheng Winston Shen/ |
| Sheet | 8 | of | 11 | Attorney Docket Number | 253625 |


| OTHER - NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Initials ¹ | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | Translation Provided** | | |
| | | | Yes | No | |
| /W.S./ | EE | JARMAN et al., "Atonal is a proneural gene that directs chordotonal organ formation in the drosophila peripheral nervous system," <i>Cell</i> , 73: 1307-1321 (July 2, 1993). | | | |
| /W.S./ | EF | JERO et al., "Cochlear gene delivery through an intact round window membrane in mouse," <i>Hum. Gene Ther.</i> , 12: 539-548 (March 20, 2001). | | | |
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| /W.S./ | EJ | LAUGHLIN et al., "Closing of infectious adeno-associated virus genomes in bacterial plasmids," <i>Gene</i> , 23: 65-73 (1983). | | | |
| /W.S./ | EK | LUEBKE et al., "A modified adenovirus can transfect cochlear hair cells <i>in vivo</i> without compromising cochlear function," <i>Gene Ther.</i> , 8: 789-794 (2001). | | | |
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| /W.S./ | EM | MARINOVIC et al., "Ubiquitin (<i>UbC</i>) expression in muscle cells is increased by glucocorticoids through a mechanism involving Sp1 and MEK1*," <i>J. Biol. Chem.</i> , 277 (19): 16673-16681 (May 10, 2002). | | | |
| /W.S./ | EN | MILLAR et al., "Molecular mechanisms regulating hair follicle development," <i>J. Invest. Dermatol.</i> , 118: 216-225 (2002). | | | |

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| | | | Yes | No | |
| /W.S./ | EO | MONTCOUQUIOL et al., "Intracellular signals that control cell proliferation in mammalian balance epithelia: Key roles for phosphatidylinositol-3 kinase, mammalian target of rapamycin, and S6 kinases in preference to calcium, protein kinase C, and mitogen-activated protein kinase," <i>J. Neurosci.</i> , 21 (2): 570-580 (January 15, 2001). | | | |
| /W.S./ | EP | MORI et al., "Pigment epithelium-derived factor inhibits retinal and choroidal neovascularization," <i>J. Cell. Physiol.</i> , 188: 253-263 (2001). | | | |
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| /W.S./ | ER | SABATÉ et al., "Adenovirus for neurodegenerative diseases: in vivo strategies and ex vivo gene therapy using human neural progenitors," <i>Clin. Neurosci.</i> , 3: 317-321 (1996). | | | |
| /W.S./ | ES | SCHWARZE et al., "In vivo protein transduction: delivery of a biologically active protein in the mouse," <i>Science</i> , 285: 1569-1572 (September 3, 1999). | | | |
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| /W.S./ | EU | STAECKER et al., "Brain-derived neurotrophic factor gene therapy prevents spiral ganglion degeneration after hair cell loss," <i>Otolaryngol. Head Neck Surg.</i> , 119: 7-13 (1998). | | | |
| /W.S./ | EV | STAECKER et al., "Gene expression in the mammalian cochlea: a study of multiple vector systems," <i>Acta Otolaryngol.</i> , 121: 157-163 (2001). | | | |
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| | | | Yes | No |
| /W.S./ | EX | SUN et al., "Transcriptional regulation of atonal during development in the <i>Drosophila</i> peripheral nervous system," <i>Development</i> , 125: 3731-3740 (1998). | | |
| /W.S./ | EY | SUZUKI et al., "Effect of transgenic GDNF expression of gentamicin-induced cochlear and vestibular toxicity," <i>Gene Ther.</i> , 7 (12): 1046-1054 (June 2000). | | |
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| /W.S./ | FA | TONG et al., "Expression patterns of the <i>JEM-1</i> gene in normal and tumor cells: ubiquity contrasting with a faint, but retinoid-induced, mRNA expression in promyelocytic NB4 cells," <i>Leukemia</i> , 12 (11): 1733-1740 (1998). | | |
| /W.S./ | FB | VAN BEUSECHEM et al., "Efficient and selective gene transfer into primary human brain tumors by using single-chain antibody-targeted adenoviral vectors with native tropism abolished," <i>J. Virol.</i> , 76 (6): 2753-2762 (March 2002). | | |
| /W.S./ | FC | WAGNER et al., "Nucleotide sequence of the thymidine kinase gene of herpes simplex virus type I," <i>Proc. Natl. Acad. Sci. USA</i> , 78: 144-145 (1981) | | |
| /W.S./ | FD | WANG et al., "Second generation adenovirus vectors," <i>Nature Med.</i> , 2 (6): 714-716 (1996). | | |
| /W.S./ | FE | WILLIAMS et al., "Structure/function analysis on interleukin-2-toxin (DAB ₄₈₆ -IL-2)," <i>J. Biol. Chem.</i> , 265 (20): 11885-11887 (July 15, 1990). | | |
| /W.S./ | FF | ZHENG et al., "Overexpression of <i>Math1</i> induces robust production of extra hair cells in postnatal rat inner ears," <i>Nature Neurosci.</i> , 3 (6): 580-586 (June 2000). | | |
| /W.S./ | FG | ZINE et al., " <i>Hes1</i> and <i>Hes5</i> activities are required for the normal development of the hair cells in the mammalian inner ear," <i>J. Neurosci.</i> , 21 (13): 4712-4720 (July 1, 2001). | | |

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